

CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

This Program Year 2015 Consolidated Annual Performance Evaluation Report (CAPER) covers actual expenditures for activities from June 1, 2015 through May 31, 2016. The City of Gadsden addressed priority goals from its Program Year 2015 Annual Action Plan. All planned activities reflect the established priorities established within the HUD approved Five-Year Consolidated Plan. Available 2015 CDBG funding was \$938,500.00; which consists of the 2015 CDBG HUD allocation of \$911,271.00 and \$27,229.00 in anticipated program income. Actual program income received totaled \$49,382.37. All program income was expended prior to entitlement funds being drawndown.

A large portion of the CDBG award was allocated toward public improvements (\$299,290.00), while \$79,200 was awarded for public service activities. \$160,000.00 was allocated for program administration. Section 108 Loan repayment allocation was \$225,010.00. \$150,000.00 for housing assistance and housing administration; along with \$25,000.00 for facade improvements accounts for the remaining \$175,000.00 of the 2015 allocation.

Total expenditures from CDBG funds during the year was \$691,283.53 for projects which include housing rehab \$115,327.37, public services \$93,142.16, Section 108 Loan repayment \$225,008.75, \$75,865.09 in street improvements, and program administration \$149,986.37, \$20,411.95 economic development, and \$11,541.84 in public improvements. There was \$19,974.21 of prior year (2014) funds expended during Program Year 2015 for actual 2014 activities.

The City anticipates expending the remaining 2015 fund balance on street improvements, housing rehabilitation/repair, economic development and completion of public service activities.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and

explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Administration	Administration	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	3	3	100.00%	3	3	100.00%
Administration	Administration	CDBG: \$	Other	Other	0	0				
Facade Improvement	Non-Housing Community Development	CDBG: \$	Businesses assisted	Businesses Assisted	85	11	12.94%	8	11	137.50%
Homeless - HMIS	Homeless	CDBG: \$	Homelessness Prevention	Persons Assisted	3500	837	23.91%	750	837	111.60%
Housing	Affordable Housing	CDBG: \$	Public service activities for Low/Moderate Income Housing Benefit	Households Assisted	0	0		0	0	
Housing	Affordable Housing	CDBG: \$	Homeowner Housing Added	Household Housing Unit	0	1		1	1	100.00%

Housing	Affordable Housing	CDBG: \$	Homeowner Housing Rehabilitated	Household Housing Unit	100	18	18.00%	20	18	90.00%
Housing	Affordable Housing	CDBG: \$	Direct Financial Assistance to Homebuyers	Households Assisted	0	0		0	0	
Public Facilities	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	8000	0	0.00%	0	0	
Public Service - Abused and Neglected Children	Non-Homeless Special Needs	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	750	148	19.73%	60	148	246.67%
Public Service - Elderly and Other Needs	Non-Homeless Special Needs	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	3000	8117	270.57%	10000	8117	81.17%

Public Service - Handicapped	Non-Homeless Special Needs	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	40	22	55.00%	25	22	88.00%
Public Service - Meals	Non-Homeless Special Needs	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	550	32407	5,892.18%	500	32407	6,481.40%
Public Service Homeless	Homeless	CDBG: \$	Homeless Person Overnight Shelter	Persons Assisted	5235	196	3.74%	30	196	653.33%
Public Services - Health Services	Non-Homeless Special Needs	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	3000	1081	36.03%	1000	1081	108.10%
Public Services - Youth	Non-Homeless Special Needs	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	300	98	32.67%	150	98	65.33%

Street Improvement	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	25000	0	0.00%	3500	0	0.00%
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Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

All funded activities were consistent with the priorities established in the Five-Year Consolidated Plan and the specific objectives included in the 2015 Annual Action Plan. Per the Five-Year Consolidated Plan, the City's highest priorities were homeowner rehabilitation/repair in order to provide decent housing, essential public services, improved access to public facilities and public services, and public infrastructure improvement.

\$299,290 was allocated for street improvements during Program Year 2015. This bid was awarded during July, 2016 and work will begin in August, 2016.

Several activities were still open and underway at the end of the 2015 program year.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG
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Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

Racial and ethnic characteristics of beneficiaries of Program Year 2015 activities are compared with the City's population as follows:

White Population: 55.5% Beneficiaries: 65.55%

Black Population: 36.3% Beneficiaries: 34.44%

American Indian or Native American Beneficiaries: .003%

Native Hawaiian or Other Pacific Islander Beneficiaries: .003%

Hispanic Population: 4.8% Beneficiaries: 7.23%

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG		3,838,000	691,284

Table 3 – Resources Made Available

Narrative

The City's 2015 CDBG allocation was \$911,271 and \$49,382.37 in program income was received. Funds were spent on program administration, public services, housing and repayment of Section 108 loans.

Street improvement bids were awarded in July 2016 and will begin in August, 2016.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
City-Wide Area	100	100	City wide Project area

Table 4 – Identify the geographic distribution and location of investments

Narrative

The City of Gadsden utilized a majority of its CDBG funds for projects that serve low-moderate income populations throughout the City. 93.55% of CDBG funds used to benefit this population during program year 2015.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

No federal funds expended during Program Year 2015 directly leveraged other resources. The CDBG program does not require matching funds. Without CDBG funds, the provision of safe/decent housing, public improvements and essential public services would have been greatly affected due to lack of other funding.

Program Year 2015 Emergency Solutions Grant funds (\$200,000) were awarded through Alabama Department of Economic and Community Affairs (ADECA) to the City on behalf of Thirteenth Place and The Salvation Army for shelter operating costs. A match of \$200,000 is required. Thirteenth Place and The Salvation Army will provide the match on this grant. None of these funds were expended during Program Year 2015.

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	1	1
Number of Non-Homeless households to be provided affordable housing units	20	1
Number of Special-Needs households to be provided affordable housing units	0	0
Total	21	2

Table 5- Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	0	0
Number of households supported through The Production of New Units	1	1
Number of households supported through Rehab of Existing Units	20	18
Number of households supported through Acquisition of Existing Units	0	0
Total	21	19

Table 6 - Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

Three rehabilitation/repair activities that were in process were completed during June and July, 2016. These funds totaling \$10,249 had not been drawn from the U.S. Treasury at the time of this report.

These are reflected in the above actual number served.

Discuss how these outcomes will impact future annual action plans.

The loss of the Community Development Housing and Property Inspector caused a delay in reaching our goal of 20 housing units during the period May 2015 through June 2016. Although this caused a delay, we will continue to assist homeowners with rehabilitation/repair using available 2015 Program Year funds to meet our one-year goal.

Hiring of a new Community Development Housing and Property Inspector is priority to aid the department moving forward to address our affordable housing goals.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Persons Served	CDBG Actual	HOME Actual
Extremely Low-income	5	0
Low-income	12	0
Moderate-income	2	0
Total	19	0

Table 7 – Number of Persons Served

Narrative Information

Persons served by CDBG funded affordable housing expenditures during program year 2015 were all low-moderate income.

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CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)
Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The City of Gadsden actively participates in the local Continuum of Care to reach out to homeless persons and to address reduction of homelessness and ways to end homelessness. The Community Development Planner assists homeless persons that present to the department for assistance by working closely with local non-profits and others in the community to provide assessment of individual needs.

Addressing the emergency shelter and transitional housing needs of homeless persons

The City allocated CDBG funds to non-profits that provide emergency shelter and transitional housing of homeless within the community.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

The City worked with the local public housing authority, other public institutions and systems of care by referring persons in need of emergency shelter, transitional housing, and affordable housing to local non-profit agencies that serve the homeless in the area. The City works in collaboration with the local Continuum of Care, area non-profits, and public/private institutions in order to address individual needs.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The City worked closely with the local Continuum of Care and the public housing authority to assist homeless individuals and families to move from shelter into transitional housing and/or permanent housing.

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CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

Three (3) public housing authorities are located in Etowah County. The Greater Gadsden Housing Authority is the largest and serves the City of Gadsden. No CDBG funds were allocated to public housing activities in program year 2015.

City representatives maintain a solid working relationship and meaningful dialogue with The Greater Gadsden Housing Authority, and coordinate in regard to respective strategic and tactical planning processes.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

The City encourages public housing residents to engage and participate in the planning processes for use of CDBG funds. HUD Fair Housing materials are provided to interested individuals and the City encourages residents to learn about fair housing rights.

Actions taken to provide assistance to troubled PHAs

The Greater Gadsden Housing Authority nor the other two PHAs in the County are troubled. The PHA evaluation scores for each PHA in Etowah County as of 1/13/2014 are as follows:

The Greater Gadsden Housing Authority (AL049): 92 of 100 points - High Performer

Housing Authority of the City of Attalla (AL009): 96/100 - Small PHA Deregulation

Altoona Housing Authority (AL102): 99/100 - Small PHA Deregulation

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

The City continued with efforts to reduce barriers to affordable housing and to eliminate the cost burden that low-moderate income families face.

The City of Gadsden does not provide waivers of building fees associated with affordable housing. This does not seem to be an issue as low-moderate income persons are not attempting to build new homes in the area. However, the Community Development Planner may work with the Chief Building Official to determine if fees can be waived or reduced in the event this should become an issue. The Community Development Planner may work with the Board of Adjustment to request re-zoning of property for the development of affordable housing when the need is presented.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

The City provided funding for public service activities that focus on low-moderate income persons, families, youth, homeless, elderly, handicapped, and other populations; along with continued active participation in the local Continuum of Care.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

The City provided funding for public service activities that focus on low-moderate income persons, families, youth, homeless, elderly, handicapped, and other populations; along with continued active participation in the local Continuum of Care.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

Efforts to reduce the number of families in poverty included the provision of financial support to organizations serving households in poverty in order to provide them with skills and opportunities to allow them to become self-sustaining.

Funds were spent on programs which include educational opportunities for children and youth in LMI families to provide a solid foundation for higher educational achievement which correlates with reduced poverty.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

At the end of August, 2015, the Community Development Planner (Rebecca Henson) retired. The City hired a new Community Development Planner on August 10, 2015 to oversee and administer the CDBG program. Additional City personnel providing support for the CDBG program included the Community Development Secretary, the Community Development Housing and Property Inspector, and Finance Department staff.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

The City continued to use multiple strategies to enhance coordination between agencies. This included the allocation of General Fund, Federal and State dollars to support the provision of services; as well as ongoing communication and consultation with housing and non-housing service providers, public housing authorities, other local jurisdictions, and public agencies.

Several service providers were funded under both the City's operating budget using General Funds, as well as the CDBG program using Federal funds. The City continued to fund agencies engaged in public services within program limits. In order to ensure transparency and to avoid the possibility of funding duplication of services, the Community Development Department provided CDBG award information to the Mayor and Council prior to the award of General Fund allocations.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

Actions taken to overcome the effects of identified impediments include:

Affordability - rehabilitation of single family housing was done to allow L/M homeowners to remain in their homes

Education - Affirmatively Furthering Fair Housing information was discussed at all CDBG public hearings and AFFH educational materials were provided. AFFH educational materials were made available in the Community Development Department.

Historic Isolation - pursued acquisition and redevelopment of tax delinquent properties

- continued to enforce rental inspections to verify required improvements were completed resulting in better quality rental housing

- continued to encourage and support Neighborhood Watch groups

- encouraged development of mixed income housing in new areas

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CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

As the grantee of the CDBG program, the City is the sole entity responsible for administering and managing program funds, awarding funds to sub-recipients, managing contracts, monitoring program activities, and ensuring project completion and compliance with program guidelines. Management is guided by the Five-Year Consolidated Plan in order to identify and prioritize community needs and to ensure strategic goals and objectives are met.

The Annual Action Plan, a directive for one-year use of funds identifying specific projects and activities to be undertaken, is used as guidance for year-to-year efforts to ensure goals and objectives are achieved.

Standards and procedures for monitoring activities include the requirement of monthly beneficiary (progress) reports from subrecipients, monthly financial monitoring for adequate drawdown of funds, monitoring of and technical assistance to subrecipients. Compliance is ensured by ongoing management and oversight, data collection and timely report preparation, and communication with HUD staff.

We will continue outreach to minority businesses and encourage program participation.

Comprehensive planning requirements were guided by CDBG program guidelines and regulations. To include the priorities of the Five-Year Consolidated Plan; along with the City's Citizen Participation Plan.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

A public notice advertisement was placed in locally circulated newspapers, on the City's public website and television station, and prominently posted in City Hall notifying citizens of the opportunity to review and comment on the 2015 CAPER. A digital copy was made available on the City's website and a printed copy was available in the Community Development Department for citizen review.

A public hearing was held at Gadsden City Hall at 4:00 p.m. on August 1, 2016 to receive public comments. A fifteen day comment period was provided to receive public comments prior to the submission of the 2015 CAPER to HUD.

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CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

There were no changes in the program objectives during the 2015 program year.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

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CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	GADSDEN
Organizational DUNS Number	077650331
EIN/TIN Number	636001269
Identify the Field Office	BIRMINGHAM
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	

ESG Contact Name

Prefix
First Name
Middle Name
Last Name
Suffix
Title

ESG Contact Address

Street Address 1
Street Address 2
City
State
ZIP Code
Phone Number
Extension
Fax Number
Email Address

ESG Secondary Contact

Prefix
First Name
Last Name
Suffix
Title
Phone Number
Extension
Email Address

2. Reporting Period—All Recipients Complete

Program Year Start Date
Program Year End Date

06/01/2015
05/31/2016

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name
City
State
Zip Code
DUNS Number
Is subrecipient a victim services provider
Subrecipient Organization Type
ESG Subgrant or Contract Award Amount

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CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 8 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 9 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 10 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 11 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 12 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	
Female	
Transgender	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 13 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	
18-24	
25 and over	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 14 – Age Information

7. Special Populations Served—Complete for All Activities

Subpopulation	Number of Persons in Households			Total Persons Served in Emergency Shelters
	Total	Total Persons Served – Prevention	Total Persons Served – RRH	
Veterans				
Victims of Domestic Violence				
Elderly				
HIV/AIDS				
Chronically Homeless				
Persons with Disabilities:				
Severely Mentally Ill				
Chronic Substance Abuse				
Other Disability				
Total (unduplicated if possible)				

Table 15 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units – Rehabbed	
Number of New Units – Conversion	
Total Number of bed - nighths available	
Total Number of bed - nights provided	
Capacity Utilization	

Table 16 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

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CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Expenditures for Rental Assistance			
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance			
Expenditures for Housing Relocation & Stabilization Services - Services			
Expenditures for Homeless Prevention under Emergency Shelter Grants Program			
Subtotal Homelessness Prevention			

Table 17 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Expenditures for Rental Assistance			
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance			
Expenditures for Housing Relocation & Stabilization Services - Services			
Expenditures for Homeless Assistance under Emergency Shelter Grants Program			
Subtotal Rapid Re-Housing			

Table 18 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Essential Services			
Operations			
Renovation			
Major Rehab			
Conversion			
Subtotal			

Table 19 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Street Outreach			
HMIS			
Administration			

Table 20 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2013	2014	2015

Table 21 - Total ESG Funds Expended

11f. Match Source

	2013	2014	2015
Other Non-ESG HUD Funds			
Other Federal Funds			
State Government			
Local Government			
Private Funds			
Other			
Fees			
Program Income			
Total Match Amount			

Table 22 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2013	2014	2015

Table 23 - Total Amount of Funds Expended on ESG Activities